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July 30, 2004

Date



Henry E. Auer

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: **10/687,012**Group Art Unit: **2859**Filing Date: **October 16, 2003**Examiner: **Arana, L. M.**Inventor: **Romalis et al.**Title of Application: **High Sensitivity Atomic Magnetometer and Methods for Using Same**

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## LETTER OF TRANSMITTAL: INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants provide herewith an Information Disclosure Statement. This communication includes the following items:

- Letter, Information Disclosure Statement Under 37 CFR 1.97(b)
- Substitute for Form 1449/PTO Information Disclosure Statement by Applicant, in Duplicate
- One copy of each information document, total of 34.
- Return Post Card

Respectfully submitted,

Date: July 30, 2004

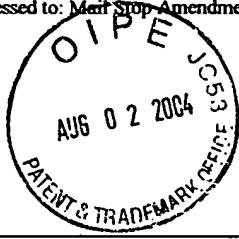
Henry E. Auer 39,096  
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Inventor:	<b>Romalis et al.</b>		
Title of Application:	<b>High Sensitivity Atomic Magnetometer and Methods for Using Same</b>		

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Dear Sir:

Pursuant to the Duty to Disclose under 37 CFR 1.56, 1.97 and 1.98, the Applicant makes of record the documents listed below, and on the Substitute for Form PTO/1449 enclosed in duplicate with this letter. The order of presentation of the documents is not related to any assessment of their relative importance.

## U. S. Patents

1. US-4,005,355, filed 01-25-1977, to Hopper et al.
2. US-6,472,869, filed 10-29-2002, to Upschulte et al.

## Literature References

1. ----, *SQUID Sensors: Fundamentals, Fabrication and Applications*, Ed. Weinstock, H., Kluwer Academic (1996) (Abstract only).

2. AFFOLDERBACH, C., et al., An all-optical, high sensitivity magnetic gradiometer, *Appl Phys* (2002) B **75**: 605-612.
3. ALEXANDROV, E.B. et al., Double-Resonance Atomic Magnetometers: from Gas Discharge to Laser Pumping, *Laser Phys.* (1996) 6: 244-251.
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10. BUDKER, D., et al., Sensitive magnetometry based on non-linear magneto-optical rotation, *Phys. Rev. A* (2000) **62**:043403-1 - 043403-7.
11. CLEM, T.R., Superconducting Magnetic Gradiometers For Underwater Target Detection, *Nav. Eng. J.* (1998) **110**:139-149.
12. DEL GRATTA C, et al., Magnetoencephalography - a noninvasive brain imaging method with 1 ms time resolution, *Rep. Prog. Phys.* (2001) **64** :1759-1814.
13. DRUNG, D., et al., Improved direct-coupled dc SQUID read-out electronics with automatic bias voltage tuning, *IEEE T. Appl. Supercon.* (2001) **11**:880-883.
14. GREENBERG, Ya.S., Application of superconducting quantum interference devices to nuclear magnetic resonance, *Rev. Mod. Phys.* (1998) **70**:175-222.
15. HÄMÄLÄINEN M. et al., Magnetoencephalography-theory, instrumentation, and applications to non-invasive studies of the working human brain, *Rev. Mod. Phys.* (1993) **65**:413-497 (Abstract and contents only).
16. HAPPER, W., Optical Pumping, *Rev. Mod. Phys.* 1972, 44:169-249 (Abstract and contents only).
17. HAPPER , W., et al., Effect of rapid spin exchange on the magnetic-resonance spectrum of alkali vapors, *Phys. Rev. A* (1977) **16**:1877-1991.

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21. KIRSCHVINK, J.L., et al., Paleomagnetic evidence of a low-temperature origin of carbonate in the Martian meteorite ALH84001, *Science* (1997) **275**:1629-1633. KOMINIS, I. K. et al., A subfemtotesla multichannel atomic magnetometer, *Nature* (2003) **422**:596-599.
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31. VARPULA, T., et al, *J. Appl. Phys.* (1984) **55**:4015-4021.
32. ZIMMERMAN, J.E., et al., Design and operation of stable RF-biased superconducting point-contact quantum devices, and a note on properties of perfectly clean metal contacts. *J. Appl. Phys.* (1970) **41**, 1572-1580.

A copy of each information document is enclosed. Applicant requests the Examiner to consider completely each item of information in reaching a determination concerning the patentability of the instant application. The Applicant further requests that the Examiner initial Form PTO/1449 if the reference was considered, whether or not the citation is in conformance with MPEP 609, or to draw a line through the citation if it is not in conformance and was not considered, and to include a copy of the form with the next communication to the Applicant.

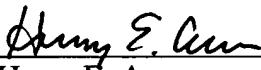
By submitting this information, no representation is made (1) that a search was performed, (2) concerning the extent of any search that may have been made, (3) that more relevant information does not exist, (4) that information cited on Form PTO/1449 is, or is considered to be, material to patentability as set forth in 37 CFR 1.56(b), and (5) that information cited is, or is considered to be, prior art as defined by 35 U.S.C. 102.

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application.

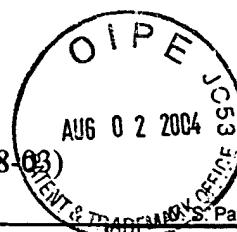
It is believed that this Information Disclosure Statement is filed prior to the mailing date of the first Office Action on the merits for this application. It is therefore believed that no fee is due with this reply, in accordance with 37 CFR 1.97(b). If there remains nevertheless any fee due, the Commissioner is hereby authorized to charge the required filing fee, or any underpayment thereof, or to credit any overpayment thereof, to Proteus Patent Practice LLC Deposit Account No. 502572, Ref. No. 403-03.

Respectfully submitted,

Date: July 30, 2004

  
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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>			
<p>U.S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE</p> <p><b>Complete if known</b></p>			
Application Number		10/687,012	
Filing Date		October 16, 2003	
First Named Inventor		Romalis	
Art Unit		2859	
Examiner Name		Arana, L. M.	
Attorney Docket Number		403-03	
Sheet	1	of	3

## U. S. PATENT DOCUMENTS

## **FOREIGN DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIP Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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<i>(Use as many sheets as necessary)</i>				Filing Date	October 16, 2003
				First Named Inventor	Romalis
				Art Unit	2859
				Examiner Name	Arana, L. M.
Sheet	2	of	3	Attorney Docket Number	403-03

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
.	.	<i>---, SQUID Sensors: Fundamentals, Fabrication and Applications, Ed. Weinstock, H., Kluwer Academic (1996) (Abstract only).</i>			T <sup>2</sup>
.	.	<i>AFFOLDERBACH, C., et al., An all-optical, high sensitivity magnetic gradiometer, <i>Appl Phys</i> (2002) B <b>75</b>: 605-612.</i>			
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Examiner Signature		Date Considered
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